



IRB Unanticipated Problem–Adverse Event Report Form

INVESTIGATOR INFORMATION

Principal Investigator (Last name, First)			
Project Title			
IRB Protocol #			
Email		Department	
Phone		School or College	

Co-Investigator (if applicable)			
Email		Phone	

Faculty Advisor (if not the PI)			
Email		Phone	

Funding Agency (if applicable)	
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SECTION 1: CRITERIA — Does the event being reported meet the following criteria?

1. An unexpected (in nature, severity, or frequency) incident, experience, or outcome, given the procedures described in the IRB-approved research protocol and informed consent document; and given the characteristics of the research subject population.	<input type="checkbox"/> YES	<input type="checkbox"/> NO
2. An incident, experience, or outcome related or possibly related to participation in the research. (“Possibly related” means that a <i>reasonable</i> possibility exists that the incident, experience, or outcome may have been caused by research procedures.)	<input type="checkbox"/> YES	<input type="checkbox"/> NO
3. An incident, experience, or outcome suggesting that the research places subjects or others at a greater risk of harm (including physical, psychological, economic, or social harm) than previously realized or anticipated.	<input type="checkbox"/> YES	<input type="checkbox"/> NO

➤ If you answered “YES” to all three criteria, or a Serious Adverse Event has occurred, complete this form and return it to the IRB according to the timeline indicated in the Unexpected Problem–Adverse Event policy.

SECTION 2: URGENCY AND PROBLEM/EVENT DESCRIPTION

1. Do you believe this event warrants **immediate or urgent attention** by the IRB and perhaps others? If **yes**, provide a detailed description of the unanticipated problem, adverse event, incident, experience, or outcome.

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SECTION 3: CONSENT AND PARTICIPANTS

1. Is the risk of this unanticipated problem explained in the current consent form?

YES

NO

2. Should the consent form or any portion of this study be revised as a result? If "Yes" please describe modifications in Section 4.

YES

NO

3. Will currently enrolled participants be notified of this event? If yes, please describe method of notification.

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SECTION 4: CORRECTIVE MEASURES

1. Describe any corrective actions that have been taken or are proposed in response to the unanticipated problem. If actions require changes to the protocol or consent form, fill out and attach the Modification Form with corresponding documents.

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INVESTIGATOR VERIFICATION STATEMENT

By submitting this form, I certify all information is accurate and this project has been conducted in strict accordance with federal regulations and UTEP policies governing human subject research.

Signature of Principal Investigator _____

_____ Date (mm/dd/yy)

Signature of Co-Investigator (if applicable) _____

_____ Date (mm/dd/yy)

Signature of Faculty Advisor (if PI is not Faculty) _____

_____ Date (mm/dd/yy)